

**Under Section 1726 of the California Insurance Code, Balboa Wealth Partners, Inc. is required to disclose the following information relative to our employees who are licensed to sell insurance in the state of California:**

| <b>Name</b>      | <b>State Domicile</b> | <b>Principal Place of Business</b> | <b>License Number</b> |
|------------------|-----------------------|------------------------------------|-----------------------|
| John Arens       | CA                    | CA                                 | 0K64995               |
| John Gregory Day | CA                    | CA                                 | 0L89946               |
| Kozen Tsukamoto  | CA                    | CA                                 | 0D38887               |
| Michael Frager   | CA                    | CA                                 | 638922                |
| Richard Riva     | CA                    | CA                                 | 0B22466               |
| Richard Rock     | CA                    | CA                                 | 0K89863               |
| Joseph Ganino    | CA                    | CA                                 | 4353040               |
| Sandra Goins     | CA                    | CA                                 | OF64364               |

|                 |    |    |          |
|-----------------|----|----|----------|
| David Mowad     | AZ | AZ | 6998586  |
| Duane Shumaker  | AZ | AZ | 6741064  |
| Jeffrey Gilbert | AZ | AZ | 3161806  |
| Michael Santoro | AZ | AZ | 3292859  |
| Ryan Robinson   | AZ | AZ | 8956768  |
| JW Robison      | AZ | AZ | 18411442 |
| Gregory Hermes  | AZ | AZ | 2161233  |